

# First Order Predicate Logic

CIS 32

# Functionalia

Demos?

HW 3 is out on the web-page.

Today:

Predicate Logic

Constructing the Logical Agent

# Predicate Logic

- *First-order predicate logic*
- More expressive than propositional logic.
- Consider the following argument:
  - *all monitors are ready;*
  - *X12 is a monitor;*
  - *therefore X12 is ready.*
- Sense of this argument *cannot* be captured in propositional logic.
- Propositional logic is too *coarse grained* to allow us to represent and reason about this kind of statement.

# Syntax

- We shall now introduce a generalization of propositional logic called first-order logic (FOL). This new logic affords us much greater expressive power.
- **Definition:** The alphabet of FOPL contains:
  1. a set of **constants**;
  2. a set of **variables**;
  3. a set of **function symbols**;
  4. a set of **predicates symbols**;
  5. the **connectives**  $\vee, \neg$ ;
  6. the **quantifiers**  $\forall, \exists, \exists_1$ ;
  7. the punctuation symbols  $), ($ .

# Terms : Constants

- The basic components of FOL are called *terms*.
- Essentially, a term is an object that denotes some object other than  $\top$  or  $\perp$ .
- The simplest kind of term is a **constant**.
- A value such as 8 is a constant.
- The **denotation** of this term is the number 8.
- Note that a constant and the number it denotes are different!
- Aliens don't write "8" for the number 8, and nor did the Romans.

# Terms :Variables

- The second simplest kind of term is a *variable*.
- A variable can stand for anything in the *domain of discourse*.
- The domain of discourse (usually abbreviated to domain) is the set of all objects under consideration.
- Sometimes, we assume the set contains “everything”.
- Sometimes, we explicitly *give* the set, and *state* what variables/constants can stand for.

# Terms : Functions

- We can now introduce a more complex class of terms — *functions*.
- The idea of functional terms in logic is similar to the idea of a function in programming: recall that in programming, a function is a procedure that takes some arguments, and *returns a value*.

In C:

```
T myfunction( T1 a1, ..., Tn an ) {  
    ...  
}
```

this function takes  $n$  arguments; the first is of type  $T1$ , the second is of type  $T2$ , and so on. The function returns a value of type  $T$ .

- In FOL, we have a set of *function symbols*; each symbol corresponds to a particular function. (It denotes some function.)

# Function: *arity*

- Each function symbol is associated with a number called its *arity*. This is just the number of arguments it takes.
- A *functional term* is built up by *applying* a function symbol to the appropriate number of terms.
- Formally ...

**Definition:** Let  $f$  be an arbitrary function symbol of arity  $n$ . Also, let  $\tau_1, \dots, \tau_n$  be terms. Then

$$f(\tau_1, \dots, \tau_n)$$

is a functional term.



# Function : *arity*

- All this sounds complicated, but isn't. Consider a function *plus*, which takes just two arguments, each of which is a number, and returns the first number added to the second.

Then:

- *plus*(2, 3) is an acceptable functional term;
- *plus*(0, 1) is acceptable;
- *plus*(*plus*(1, 2), 4) is acceptable;
- *plus*(*plus*(*plus*(0, 1), 2), 4) is acceptable;

# Functions

- In maths, we have many functions; the obvious ones are

$+ \quad - \quad / \quad * \quad \sqrt{\quad} \quad \sin \quad \cos \quad \dots$

- The fact that we write

$2 + 3$

instead of something like

*plus*(2, 3)

is just convention, and is not relevant from the point of view of logic; all these are functions in exactly the way we have defined.

# Function

- Using functions, constants, and variables, we can build up *expressions*, e.g.:

$$(x + 3) * \sin 90$$

(which might just as well be written

*times(plus(x, 3), sin(90))*

for all it matters.)

# Predicates

- In addition to having terms, FOL has *relational operators*, which capture *relationships* between objects.
- The language of FOL contains *predicate symbols*.
- These symbols stand for *relationships between objects*.
- Each predicate symbol has an associated *arity* (number of arguments).
- **Definition:** Let  $P$  be a predicate symbol of arity  $n$ , and  $\tau_1, \dots, \tau_n$  are terms.

Then

$$P(\tau_1, \dots, \tau_n)$$

is a predicate, which will either be  $\top$  or  $\perp$  under some interpretation.

- **EXAMPLE.** Let  $gt$  be a predicate symbol with the intended interpretation '*greater than*'. It takes two arguments, each of which is a natural number.

Then:

- $gt(4, 3)$  is a predicate, which evaluates to  $\top$ ;
  - $gt(3, 4)$  is a predicate, which evaluates to  $\perp$ .
- The following are standard mathematical predicate symbols:

$$> < = \neq \geq \leq \dots$$

- The fact that we are normally write  $x > y$  instead of  $gt(x, y)$  is just convention.

- We can build up more complex predicates using the connectives of propositional logic:

$$(2 > 3) \wedge (6 = 7) \vee (\sqrt{4} = 2)$$

- So a predicate just expresses a relationship between some values.
- What happens if a predicate contains *variables*: can we tell if it is true or false?

Not usually; we need to know an *interpretation* for the variables.

- A predicate that contains no variables is a proposition.

# Properties

- Predicates of *arity* 1 are called properties.
- **EXAMPLE.** The following are properties:

*Man(x)*

*Mortal(x)*

*Malfunctioning(x).*

- We interpret  $P(x)$  as saying  $x$  is in the set  $P$ .
- Predicate that have arity 0 (i.e., take no arguments) are called *primitive propositions*.

These are identical to the primitive propositions we saw in propositional logic.

# Quantifiers

- We now come to the central part of first order logic: *quantification*.
- Consider trying to represent the following statements:
  - *all men have a mother* ;
  - *every positive integer has a prime factor*.
- We can't represent these using the apparatus we've got so far; we need *quantifiers*.



# Quantifiers

- We use three quantifiers:

$\forall$  — the *universal quantifier* ;

- is read ‘for all...’

$\exists$  — the *existential quantifier* ;

- is read ‘there exists...’

$\exists_1$  — the *unique quantifier* ;

- is read ‘there exists a unique...’

- The simplest form of quantified formula is as follows:

*quantifier variable* • *predicate*

where

- *quantifier* is one of  $\forall, \exists, \exists!$ ;
- *variable* is a variable;
- and *predicate* is a predicate.

# Examples

- $\forall x \cdot \text{Man}(x) \Rightarrow \text{Mortal}(x)$

‘For all  $x$ , if  $x$  is a man, then  $x$  is mortal.’

(i.e. all men are mortal)

- $\forall x \cdot \text{Man}(x) \Rightarrow \exists! y \cdot \text{Woman}(y) \wedge \text{MotherOf}(x, y)$

‘For all  $x$ , if  $x$  is a man, then there exists exactly one  $y$  such that  $y$  is a woman and the mother of  $x$  is  $y$ .’

(i.e., every man has exactly one mother).

# Examples

- $\exists m \cdot \text{Monitor}(m) \wedge \text{MonitorState}(m, \text{ready})$

‘There exists a monitor that is in a ready state.’

- $\forall r \cdot \text{Reactor}(r) \Rightarrow \exists t \cdot (100 \leq t \leq 1000) \wedge \text{temp}(r) = t$

‘Every reactor will have a temperature in the range 100 to 1000.’

- $\exists n \cdot \text{posInt}(n) \wedge n = (n * n)$

“Some positive integer is equal to its own square.”

- $\exists c \cdot \text{EUcountry}(c) \wedge \text{Borders}(c, \text{Albania})$

“Some EU country borders Albania.”

- $\forall m, n \cdot \text{Person}(m) \wedge \text{Person}(n) \Rightarrow \neg \text{Superior}(m, n)$

“No person is superior to another.”

- $\forall m \cdot \text{Person}(m) \Rightarrow \neg \exists n \cdot \text{Person}(n) \wedge \text{Superior}(m, n)$

(same as previous)

# Domains & Interpretations

- Suppose we have a formula  $\forall x \cdot P(x)$ .

What does  $x$  *range* over?

Physical objects, numbers, people, times, ...?

- Depends on the *domain* that we intend.
- Often, we *name* a domain to make our intended interpretation clear.

# Example of Domains

- Suppose our intended interpretation is the positive integers. Suppose  $>, +, *, \dots$  have the usual mathematical interpretation.
- Is this formula satisfiable under this interpretation?

$$\exists n \cdot n = (n * n)$$

- Now suppose that our domain is all living people, and that  $*$  means “is the child of”.
- Is the formula satisfiable under this interpretation?

# Conjunctions

- Note that universal quantification is similar to *conjunction*.

Suppose the domain is the numbers {2, 4, 6}. Then

$$\forall n \cdot \text{Even}(n)$$

is the same as

$$\text{Even}(2) \wedge \text{Even}(4) \wedge \text{Even}(6).$$

- Existential quantification is similar to disjunction. Thus with the same domain,

$$\exists n \cdot \text{Even}(n)$$

is the same as

$$\text{Even}(2) \vee \text{Even}(4) \vee \text{Even}(6)$$



- The universal and existential quantifiers are in fact *duals* of each other:

$$\forall x \cdot P(x) \Leftrightarrow \neg \exists x \cdot \neg P(x)$$

*Saying that everything has some property is the same as saying that there is nothing that does not have the property.*

$$\exists x \cdot P(x) \Leftrightarrow \neg \forall x \cdot \neg P(x)$$

*Saying that there is something that has the property is the same as saying that its not the case that everything doesn't have the property.*

# Validity

- In propositional logic, we saw that some formulae were tautologies — they had the property of being true under all interpretations.
- We also saw that there was a procedure which could be used to tell whether any formula was a tautology — this procedure was the truth-table method.
- A formula of FOL that is true under all interpretations is said to be *valid*.
- So in theory we could check for validity by writing down all the possible interpretations and looking to see whether the formula is true or not.

# Decidability and Undecidability

- Unfortunately in general we can't use this method.
- Consider the formula:

$$\forall n \cdot \text{Even}(n) \Rightarrow \neg \text{Odd}(n)$$

- There are an infinite number of interpretations.
- Is there any other procedure that we can use, that will be guaranteed to tell us, in a finite amount of time, whether a FOL formula is, or is not, valid?
- The answer is *no*.
- FOL is for this reason said to be *undecidable*.

# Proof in FOL

- Proof in FOL is similar to propositional logic (PL); we just need an extra set of rules, to deal with the quantifiers.
- FOL inherits all the rules of PL.
- To understand FOL proof rules, need to understand *substitution*.
- The most obvious rule, for  $\forall$ -E.

Tells us that if everything in the domain has some property, then we can infer that any particular individual has the property.

$$\frac{\vdash \forall x \cdot \phi(x);}{\vdash \phi(a)} \quad \forall\text{-E} \quad \text{for any } a \text{ in the domain}$$

Going from *general* to *specific*

# Example I

Let's use  $\forall$ -E to get the Socrates example out of the way.

$$\begin{array}{l} Man(s); \forall x \cdot Man(x) \Rightarrow Mortal(x) \\ \vdash Mortal(s) \end{array}$$

- |   |                        |
|---|------------------------|
| 1. $Man(s)$                                       | Given                  |
| 2. $\forall x \cdot Man(x) \Rightarrow Mortal(x)$ | Given                  |
| 3. $Man(s) \Rightarrow Mortal(s)$                 | 2, $\forall$ -E        |
| 4. $Mortal(s)$                                    | 1, 3, $\Rightarrow$ -E |

- Existential Introduction Rule I ( $\exists$ -I(1)).
- We can also go from the general to the slightly less specific!

$$\frac{\vdash \forall x \cdot \phi(x);}{\vdash \exists x \cdot \phi(x)} \quad \exists\text{-I}(1) \quad \text{if domain not empty}$$

Note the *side condition*.

The  $\exists$  quantifier *asserts the existence* of at least one object.

The  $\forall$  quantifier does not.

- Existential Introduction Rule 2 ( $\exists$ -I(2)).
- We can also go from the very specific to less specific.

$$\frac{\vdash \phi(a);}{\vdash \exists x \cdot \phi(x)} \quad \exists\text{-I}(2)$$

- In other words once we have a concrete example, we can infer there exists something with the property of that example.

- We often informally make use of arguments along the lines...

1. We know somebody is the murderer.

2. Call this person  $a$ .

3....

(Here,  $a$  is called a *Skolem constant*.)

- We have a rule which allows this, but we have to be careful how we use it!

$$\frac{\vdash \exists x \cdot \phi(x);}{\vdash \phi(a)} \quad \exists\text{-E} \quad a \text{ doesn't occur elsewhere}$$



- Here is an invalid use of this rule:

1.  $\exists x \cdot Boring(x)$  Given
2.  $Lecture(AI)$  Given
3.  $Boring(AI)$  1,  $\exists$ -E

- (The conclusion may be true, the argument isn't sound.)

## Example 2

1. Everybody is either happy or rich.
2. Simon is not rich.
3. Therefore, Simon is happy.

*Predicates:*

- $H(x)$  means  $x$  is happy;
- $R(x)$  means  $x$  is rich.

• *Formalisation:*

$$\forall x \ H(x) \vee R(x); \neg R(\text{Simon}) \vdash H(\text{Simon})$$

# Proof

1.	$\forall x.H(x) \vee R(x)$	Given
2.	$\neg R(\text{Simon})$	Given
3.	$H(\text{Simon}) \vee R(\text{Simon})$	1, $\forall$ -E
4.	$\neg H(\text{Simon}) \Rightarrow R(\text{Simon})$	3, defn $\Rightarrow$
5.	$\neg H(\text{Simon})$	Assumption
6.	$R(\text{Simon})$	4, 5, $\Rightarrow$ -E
7.	$R(\text{Simon}) \wedge \neg R(\text{Simon})$	2, 6, $\wedge$ -I
8.	$\neg\neg H(\text{Simon})$	5, 7, $\neg$ -I
9.	$H(\text{Simon}) \Leftrightarrow \neg\neg H(\text{Simon})$	PL axiom
10.	$(H(\text{Simon}) \Rightarrow \neg\neg H(\text{Simon}))$ $\wedge (\neg\neg H(\text{Simon}) \Rightarrow H(\text{Simon}))$	9, defn $\Leftrightarrow$
11.	$\neg\neg H(\text{Simon}) \Rightarrow H(\text{Simon})$	10, $\wedge$ -E
12.	$H(\text{Simon})$	8, 11, $\Rightarrow$ -E